### Note on main issues for Glasgow

The UN climate change conference in Glasgow formally comprises the following meetings:

- The 26<sup>th</sup> session of the Conference of the Parties (COP26) from 31 October to 12 November 2021
- The 16<sup>th</sup> session of the Conference of the Parties service as the Meeting of the Parties to the Kyoto Protocol (CMP16) from 31 October to 12 November 2021
- The 3<sup>rd</sup> session of the Conference of the Parties serving as the Meeting of the Parties to the Paris Agreement (CMA3) from 31 October to 12 November 2021
- The 52nd to 55<sup>th</sup> sessions of the Subsidiary Body for Implementation (SBI) from 31 October to 6 November 2021
- The 52nd to 55<sup>th</sup> sessions of the Subsidiary Body for Scientific and Technological Advice (SBSTA) from 31 October to 6 November 2021

In a <u>letter</u> dated 22 September to all delegates the incoming UK COP presidency has highlighted the following substantive issues as a priority for the negotiations:

- The US-\$100bn goal and further agreement on climate finance, aligning finance flows with the Paris Agreement and an approach for how the new collective quantified finance goal (post-2025) will be set prior to 2025.
- Addressing the gap between current NDCs and emissions reductions required by science to keep 1.5 in reach; including a roadmap for strengthening 2030 NDCs as necessary ahead of, and through, the Global Stocktake in 2023.
- Strengthened expectations of all Parties to produce long-term strategies pointing the way to net zero.
- Political prioritisation of adaptation, including launch of work to drive progress towards the Global Goal on Adaptation (GGA).
- Agreement on the development of the Santiago Network on Loss & Damage.
- Agreement on Article 6.2 and 6.4 rules on market approaches and a work programme on non-market approaches under Article 6.8.
- Adoption of further operational guidance for the Enhanced Transparency Framework.
- Agreement on common time frames for NDCs to promote consistency and comparability and support the functioning of the Paris system/architecture.
- Agreement of a new work programme for climate empowerment, education, training, and public awareness, participation and access to information.
- Agreement of a new work programme on local communities and indigenous peoples.
- Agreeing the UNFCCC budget.
- An improved Marrakesh Partnership that strengthens links with non-state actors, driven by the High Level Champions.

The following summary provides a rough overview of the different agendas of the COP, the CMA and the subsidiary bodies (SBI and SBSTA). For the purposes of this note we have omitted the CMP agenda and only highlighted the main substantive issues in the other bodies' provisional agendas. As you will see many of the substantive issues will formally be discussed in the subsidiary bodies before they are then addressed in the "supreme bodies" – the COP and/or the CMA.

### COP26 provisional agenda

The full agenda with annotations can be accessed here.

### Item 7: Warsaw International Mechanism ("WIM") for Loss and Damage associated with Climate Change Impacts

The WIM was established by the COP (COP19) and operates on the basis of functions established by the COP. Article 8.2 PA, however, states that the WIM will be subject to the authority and guidance of the CMA. This has resulted in disagreement between Parties over the governance of the WIM. The issue was considered at COP 24 and 25 but no agreement was reached. COP 25 noted that considerations related to the governance of the WIM will continue at COP 26.

Informal consultations have been held on loss and damage under the COP25 and 26 Presidencies since June. The co-chairs' summary of the latest consultations is available <u>here</u>. These included discussions on the operationalization of the Santiago Network for Loss & Damage. Although the Network is not formally on the agenda, many developing countries are calling for a decision at COP26 clarifying the Network's governance structure, mandate, and modalities.

#### Item 8: Matters relating to finance

#### a. Long-term climate finance

COP 19 and COP 20 decided that deliberations on long-term finance for the period 2014-2020 would continue through biennial submissions by developed countries; annual in-session workshops; and biennial high-level ministerial dialogues. COP 24 decided on the following focus areas for 2019 and 2020 in-session workshops on:

- The effectiveness of climate finance, including the results and impacts of finance provided and mobilized; and
- The provision of financial and technical support to developing country Parties for their adaptation and mitigation actions in relation to holding the increase in the global average temperature to well below 2 °C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5 °C above pre-industrial levels.

Some Parties have called for the continuation of the LTF agenda item under the COP beyond COP 26, noting in particular the importance of continuing consideration by the COP of the commitment by developed countries to mobilise USD 100 billion by 2020 and through to 2025 and of having a place to discuss climate finance holistically. Others have expressed the view that many aspects currently comprising the LTF work programme will in future be discussed under the new mandated CMA agenda item relating to information provided on Article 9.5 of the Paris Agreement and consider that the LTF agenda item will end at COP 26.

The COP will consider the summary reports on the in-session workshops and take any action it deems appropriate.

### b. Matters relating to the Standing Committee on Finance ("SCF")

A number of issues are to be considered under this sub-item. They are:

• Report of the Standing Committee on Finance – Convention matters

- First report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement. COP24 decided that this report would be prepared every 4 years starting at COP26.
- Fourth (2020) Biennial Assessment and Overview of Climate Finance Flows including information on the geographical and thematic balance of flows
- Review of the functions of the Standing Committee on Finance. COP25 decided that this review would be initiated in 2021 with a view to concluding it in 2022. Some initial multilateral exchanges of views on this were held in July.

The COP will consider the SCF's reports including the summary and recommendations of the fourth (2020) Biennial Assessment and Overview of Climate Finance Flows and the first report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement, and take any action it deems appropriate. The COP will initiate the review of the functions of the SCF. It will elect members of the SCF.

# c. Report of the Green Climate Fund ("GCF") to the Conference of the Parties and guidance to the Green Climate Fund (for 2020 and 2021)

The COP will provide guidance to the GCF on policies, programme priorities and eligibility criteria taking into consideration the 2020 and 2021 reports of the GCF, the 2021 report of the SCF and the annual report to the COP on the operation of the registry of nationally appropriate mitigation actions.

In addition, as mandated at COP25, the COP25 President will report on its engagement with the UN Secretary-General on the matter of granting privileges and immunities for the GCF and its officials through a possible linkage between the UN and the GCF, which will continue to be considered at COP26.

# d. Report of the Global Environment Facility ("GEF") to the Conference of the Parties and guidance to the Global Environment Facility (for 2020 and 2021)

The COP will provide guidance to the GEF on policies, programme priorities and eligibility criteria taking into consideration the 2020 and 2021 reports of the GEF, the 2021 report of the SCF and the annual report to the COP on the operation of the registry of nationally appropriate mitigation actions.

### e. Seventh review of the Financial Mechanism

The COP will initiate, and agree on the guidelines for, the seventh review of the Financial Mechanism taking into account the guidelines contained in the annex to decision 12/CP.22.

# f. Compilation and synthesis of, and summary report on the in-session workshop on, biennial communications of information related to Article 9, paragraph 5, of the Paris Agreement

CMA 1 requested developed country Parties to submit biennial communications, starting in 2020, containing indicative quantitative and qualitative information related to Article 9.1 and 9.3 of the Paris Agreement including projected levels of public financial resources to be provided to developing country Parties. CMA 1 further requested the secretariat to prepare a compilation and synthesis of the information contained in the biennial communications starting in 2021, to inform the global stocktake. CMA 1 also requested the secretariat to organize biennial in-session workshops and to prepare a summary report on each workshop.

As invited by the CMA, the COP will consider the compilation and synthesis and the summary report on the 2021 in-session workshop. Further information on plans for inter-sessional work on climate finance matters can be found <u>here</u>.

#### Item 9: Development and transfer of technologies

# a. Joint annual report of the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN) for 2020 and 2021

COP 20 decided that the TEC and the CTCN will continue to prepare a joint annual report to the COP on their respective activities. The COP will take action on the basis of the recommendations of the SBSTA and the SBI and will elect members of the TEC and the Advisory Board of the CTCN.

#### b. Linkages between the Technology Mechanism and the Financial Mechanism of the Convention

COP 24 requested the SBI to take stock of progress in strengthening the linkages between the Technology Mechanism and the Financial Mechanism with a view to recommending a draft decision on the matter for consideration and adoption at COP 26. Based on the recommendations of the SBI COP may take further decisions.

**c.** Review of the constitution of the Advisory Board of the Climate Technology Centre and Network As mandated by COP18, the COP will be invited to review the constitution of the Advisory Board of the CTCN. This matter was due to be discussed at a Presidencies <u>informal meeting on Technology</u> <u>Development and transfer</u> held on 7/10/21.

#### d. Second review of the Climate Technology Centre and Network

The secretariat is to commission an independent review of the effective implementation of the CTCN every four years. This matter was also due to be discussed at the Presidencies' Informal Meeting on 7 October.

The COP will be invited to: Consider the findings and recommendations arising from the second independent review and determine any follow-up action for enhancing the performance of the CTCN; and consider matters relating to the renewal of the memorandum of understanding between the COP and UNEP regarding the hosting of the CTC.

### Item 11: Matters relating to the least developed countries (LDCs)

COP 21 decided that the progress, need for continuation and terms of reference of the LDC Expert Group (LEG) will be reviewed at COP 26. COP 25 requested the Adaptation Committee (AC) and the LEG to continue to include in their reports information on the gaps and needs related to the process to formulate and implement national adaptation plans identified in undertaking their mandated work and on how to address them. Based on the recommendations of the SBI the COP may take further decisions.

#### Item 12: Report of the forum on the impact of the implementation of response measures

COP 24, CMP 14 and CMA 1 acknowledged that a single forum on the impact of the implementation of response measures covers the work of the COP, the CMP and the CMA on all matters relating to the impact of the implementation of response measures. CMA 1 decided that the forum shall provide recommendations for consideration by the subsidiary bodies and adoption by the COP, the CMP and the CMA. The COP may take further decisions based on the recommendations of the SBSTA and the SBI.

#### Item 13: Gender and climate change

COP 22 requested the secretariat to continue to prepare an annual report on gender composition. COP 22 also requested constituted bodies to include in their regular reports information on progress towards integrating a gender perspective into their processes, and the secretariat to prepare synthesis reports on that information. COP 25 adopted the enhanced five-year Lima work programme on gender and its gender action plan. Matters under this agenda item are considered under the SBI. The COP will be invited to take further decisions on the basis of recommendations by the SBI.

### Item 16: Equitable, fair, ambitious and urgent real emission reductions now consistent with a trajectory to reduce the temperature below 1.5 °C

A <u>proposal</u> was received from Bolivia to include this matter in the provisional agenda and the COP will consider whether to include it in the final agenda.

### Item 17: All matters of adaptation

A <u>proposal</u> was received from the Bolivia to include this matter and the COP will also consider whether this item should be included and/or take "any action it deems appropriate".

### CMA3 provisional agenda

The complete agenda with annotations can be accessed <u>here</u>.

### Item 5: Methodological Issues relating to the Enhanced Transparency Framework for Action and Support referred to in Article 13 of the Paris Agreement

The modalities, procedures and guidelines (MPGs) for the transparency framework for action and support were agreed at COP24 but they left further technical issues to be agreed at a later stage: in particular, CMA 1 requested SBSTA to develop the following for consideration and adoption at CMA3:

- Common reporting tables for the electronic reporting of the information referred to in chapter II and common tabular formats for the electronic reporting of the information referred to in chapters III, V and VI of the MPGs, taking into account the existing common tabular formats and common reporting formats;
- Outlines of the biennial transparency report, national inventory document and technical expert review;
- A training programme for technical experts participating in the technical expert review.

Some progress was made on these at SB52, notably with the development of examples of tables, but with substantial technical work remaining, informal work was undertaken in the interval period. For information on the informal work undertaken by the CMA 2 Presidency and the incoming CMA 3 Presidency, see <u>here</u>. The CMA may take further decisions based on SBSTA recommendations.

### Item 6: Public Registry under the Paris Agreement

### a. Modalities and Procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement

CMA1 adopted the modalities for the operation and use of the public registry referred to in Article 4, para 12, of the PA. At SB50, the secretariat presented to Parties a prototype of the public registry, developed in accordance with the modalities and procedures contained in the annex to decision 5/CMA.1. Since CMA2 did not complete consideration of this matter, it has been included for consideration by CMA3. The CMA will consider and conclude whether the prototype conforms to the modalities and procedures referred to in Article 4, para 12 of the Paris Agreement.

### **b.** Modalities and procedures for the operation and use of a public registry referred to in Article 7, para 12 of the Paris Agreement

CMA1 established the public registry for adaptation communications referred to in Article 7, para 12 of the PA (as part of the above registry for NDCs) to contain adaptation communications submitted by Parties in accordance with Article 7, para 11. It also adopted the modalities and procedures for the operation and use of the public registry. At SB50, the secretariat presented to Parties a prototype of the public registry. Since CMA2 did not complete consideration of the matter, it has been included for consideration by CMA3. The CMA will consider and conclude whether the prototype shall serve as the public registry referred to in Article 7, para 12 of the Paris Agreement.

# Item 7: Warsaw International Mechanism (WIM) for Loss and Damage associated with Climate Change Impacts

Please see notes on Item 7 of the COP26 provisional agenda above. The WIM was formally established by the COP under the UNFCCC. Some parties want to move it entirely under the governance of the CMA and the Paris Agreement while others advocate that it should work under both bodies and treaties.

### Item 8: Matters relating to Finance

### a. Matters relating to the Standing Committee on Finance (SCF)

A number of issues are to be considered under this sub-item:

- Report of the Standing Committee on Finance Paris Agreement matters.
- First report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement. COP24 decided that this report would be prepared every 4 years starting at COP26.
- Fourth (2020) Biennial Assessment and Overview of Climate Finance Flows including information on the geographical and thematic balance of flows
- Review of the functions of the Standing Committee on Finance. CMA2 decided that this review would be initiated as part of the review referred to in decision 11/CP.25 in 2021 with a view to concluding it in 2022. Some initial multilateral exchanges of views on this were held in July.

The CMA will consider the 2020 and 2021 Reports of the SCF and may take further decisions. It will also initiate the review of the functions of the SCF as per decision 11/CP.25.

### b. Guidance to the Green Climate Fund (GCF)

COP21 decided that the GCF will serve the Paris Agreement. The CMA, as recommended by COP21, will provide guidance to the GCF on policies, programme priorities and eligibility criteria related to the PA for transmission by the COP.

### c. Guidance to the Global Environment Facility (GEF)

COP21 decided that the GEF will serve the PA. The CMA, as recommended by COP21, will give guidance to the GEF on policies, programme priorities and eligibility criteria related to the PA for transmission by the COP.

### d. Matters relating to the Adaptation Fund

CMA1 decided that the Adaptation Fund will serve the PA under the guidance of and be accountable to the CMA. CMA 1 invited the CMP to request the Adaptation Fund Board to consider the rules of procedure of the Board, the arrangements of the Adaptation Fund with respect to the PA, and the implications of the Adaptation Fund receiving a share of proceeds from the activities under Articles 6, 12 and 17 of the Kyoto Protocol when the Adaptation Fund serves the Paris Agreement, with a view

to forwarding recommendations for consideration at CMA 2. Since CMA 2 did not complete consideration of this matter, it has been included for consideration at CMA 3.

### e. New collective quantified goal on climate finance

COP21 decided that, in accordance with Article 9 para 3 of the PA, developed countries should continue their existing collective mobilization goal through 2025, and that prior to 2025 the CMA will set a new collective quantified goal from a floor of USD 100 billion per year, taking into account the needs and priorities of developing countries.

The CMA will start deliberations on setting a new collective quantified goal from a floor of USD 100 billion per year. A reflections paper from the COP25 and COP26 presidencies based on informal bilateral consultations held in July can be accessed <u>here</u>.

### f. Compilation and Synthesis of, and summary report on the in-session workshop on, biennial communications on information related to Article 9, para 5 of the Paris Agreement

CMA 1 requested developed country Parties to submit biennial communications, starting in 2020, containing indicative quantitative and qualitative information related to levels of public climate finance (Article 9.1 and 9.3 Paris Agreement), and encouraged other Parties providing resources to communicate such information on a voluntary basis. CMA 1 further requested the secretariat to prepare a compilation and synthesis of the information contained in the biennial communications starting in 2021, to inform the global stocktake. CMA 1 also requested the secretariat to organize biennial in-session workshops and to prepare a summary report on each workshop.

The CMA will consider the compilation and synthesis and the summary report on the 2021 in-session workshop. Further information on plans for inter-sessional work on climate finance matters can be found <u>here</u>.

### **Item 9: Development and Transfer of Technologies**

# a. Joint annual report of the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN) for 2020 and 2021

CMA1 adopted the technology framework under Art.10 para 4 of the PA, and took note of the recommendation of the TEC and CTCN to prepare and submit their joint annual report to both COP and CMA, through the SBs, on their activities supporting the implementation of the Paris Agreement. CMA2 requested the TEC and CTCN to include in their 2020 joint annual report comprehensive information on how they incorporated the guidance contained in the technology framework into their workplans and programmes; and to finalise the development of activities in areas identified by TEC and CTCN for collaboration in 2019-2022. Matters relating to this agenda item are considered under the SBSTA and SBI. The CMA may take decisions based on SBSTA and SBI recommendations.

# b. Alignment between processes pertaining to the review of the CTCN and the periodic assessment referred to in para 69 of decision 1/CP.21

CMA1 adopted the scope of and modalities for the periodic assessment of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer in accordance with decision 1/CP.21. CMA1 also requested SBI51 to consider the alignment between processes for the review of the CTCN and the periodic assessment referred to above, with a view to recommending a draft decision for consideration and adoption at CMA3. Matters relating to this agenda item are considered under the SBI. The CMA may take further decision based on recommendations of the SBI.

### c. First periodic assessment referred to in paragraph 69 of decision $1/\mbox{CP.21}$

The CMA will initiate the first periodic assessment of the support provided to the Technology Mechanism in accordance with the scope and modalities in the annex to decision 16/CMA.1 and take any action it deems appropriate.

#### Item 10: Capacity Building Under the Paris Agreement

The Paris Committee on Capacity-building serves the PA and reports to both COP and CMA through its annual technical progress reports. Matters relating to this agenda item are considered under the SBI. The CMA will take action based on SBI recommendations.

### Item 11: Report on the Forum on the Impact of the implementation of response measures

COP 24, CMP 14 and CMA 1 acknowledged that a single forum on the impact of the implementation of response measures covers the work of the COP, the CMP and the CMA on all matters relating to the impact of the implementation of response measures. CMA 1 decided that the forum will provide recommendations for consideration by the subsidiary bodies and adoption by the COP, the CMP and the CMA. Matters relating to this agenda item are considered under the SBSTA and SBI.

### Item 12: Matters relating to Article 6 of the Paris Agreement

### a. Guidance on cooperative approaches referred to in Article 6 paragraph 2

COP21 and CMA1 requested SBSTA to develop guidance on cooperative approaches referred to in Article 6 para 2 of the PA. At CMA2 no consensus was reached on the draft decision texts prepared by the President. SBSTA will continue consideration of this matter with a view to recommending a draft decision for consideration and adoption at CMA3. The most contentious issue (amongst many) concerns the use of trading units generated under the Kyoto Protocol in the new system.

### b. Rules, modalities and procedures for the mechanism established by Article 6, para 4 PA.

COP21 and CMA1 requested SBSTA to develop and recommend rules, modalities and procedures for the mechanism established by Article 6 paragraph 4 of the PA, with a view to forwarding a draft decision for consideration and adoption at CMA2. No consensus was reached at CMA 2. SBSTA will continue consideration of the matter with a view to recommending a draft decision for adoption at CMA3.

# c. Work programme for the framework for non-market approaches referred to in Article 6 para 8 of the Paris Agreement

COP21 and CMA1 requested SBSTA to undertake a work programme under the framework for nonmarket approaches to sustainable development referred to in Article 6, para 8 of the PA, and to recommend a draft decision for consideration and adoption at CMA1. No consensus was reached on draft decisions at CMA1 and CMA2. SBSTA will continue consideration of this matter with a view to recommending a draft decision for adoption at CMA3. *Action:* CMA will take action based on SBSTA recommendations.

Informal ministerial consultations on Art.6 were held in July. For background and guiding questions see the <u>input paper</u>.

### Item 13: Report of the Committee to facilitate implementation and promote compliance referred to in Article 15, para 2, of the Paris Agreement (for 2020 and 2021)

The Paris Agreement Implementation and Compliance Committee was established under Article 15, para 1-2 of the PA. CMA1 adopted its modalities and procedures, according to which it reports annually to the CMA and develops and recommends rules of procedures for adoption at CMA 3. The

CMA will consider the report and take any action it deems appropriate. It will also elect members and alternate members of the Committee.

# Item 14: Stocktake on Financial Support and means of implementation for alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests

The CMA will be invited to consider this additional agenda item, which was proposed by Bolivia, and take any action it deems appropriate. For more information, see <u>here</u>.

### Item 15: Special needs and circumstances of Africa

The CMA will be invited to consider this item, which was proposed by Gabon on behalf of the African Group, and take any action it deems appropriate. For more information, see <u>here</u>.

### Item 16: Matters relating to Adaptation

This new item has been included in the provisional agenda at the request of Gabon, on behalf of the African Group. The CMA will consider the following matters:

- Reports of the Adaptation Committee (2019, 2020, 2021 and review of the Adaptation Committee)
- Global Goals on Adaptation
- Recognition of adaptation efforts of developing country Parties
- Enhancing implementation of adaptation action taking into account the adaptation communication referred to in Article 7, para 10.
- The adequacy and effectiveness of adaptation and support provided for adaptation.

An informal Presidency workshop on the global goal on adaptation was held in August. The Co-chairs' summary of the session can be accessed <u>here</u>.

### Subsidiary Body for Scientific and Technical Advice: 52-55th session

The complete agenda with annotations can be accessed <u>here</u>

### Item 3 - Nairobi Work Programme on impacts, vulnerability and adaptation to climate change

SBSTA 56 (June 2022) will take stock of the operational and institutional modalities of the NWP with a view to assessing the performance and effectiveness of the NWP in addressing knowledge needs relevant to the implementation of the Paris Agreement. At SBSTA 48 it was agreed that the SBSTA would determine the modalities of the stocktake in 2021.

SBSTA will consider progress in implementing activities under the NWP, drawing on the annual reports for 2020 and 2021, and provide further guidance on the modalities of the stocktake due to take place at SBSTA 56.

### Item 4 - Report of the Adaptation Committee (for 2019, 2020 and 2021)

SB 51 could not conclude consideration of the 2019 report of the Adaptation Committee (AC). Information on progress of the AC since 2019 in implementing its work plan is contained in the annual reports of the AC for 2020 and 2021.

SBSTA and SBI will be invited to continue their consideration of the 2019 report, consider its reports for 2020 and 2021 and recommend a draft decision for consideration and adoption at COP 26 and CMA 3.

### Item 5 - Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (for 2020 and 2021)

COP 19 and 20 requested the Executive Committee to report annually to the COP through the subsidiary bodies and to make recommendations, as appropriate.

The SBI and the SBSTA will consider the 2020 and 2021 reports of the Executive Committee and recommend a draft decision to the appropriate body or bodies. Although not formally on the provisional agenda, developing countries may push for the following to be considered: (i) the development of a plan for the implementation of the Santiago Network; (ii) Loss & Damage finance or commitments by developed countries to provide aid to developing countries to combat the effects of climate change; (iii) the inclusion of Loss & Damage as a permanent agenda; and (iv) the development of initiatives to amplify private sector investment to combat climate change.

### Item 6 - Local Communities and Indigenous Peoples Platform (LCIPP)

Since COP 25, the Facilitative Working Group (FWG) has been implementing the LCIPP initial two-year work plan. COP 24 requested the FWG to report on its outcomes, including a draft second three- year work plan, and on activities under the LCIPP for consideration by the COP through the SBSTA in 2021. The SBSTA will review the outcomes and activities of the FWG and make recommendations to the COP on the outcome of the review. In the context of the FWG review, SBSTA is expected to consider progress related to the representation of local communities.

### Item 7 - Development and transfer of technologies joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021)

SBSTA and SBI will consider the joint annual reports of the TEC and CTCN for 2020 and 2021 and recommend a draft decision for adoption at COP26 and CMA3.

### Item 8 - Koronivia joint work on agriculture

Workshops under the Koronivia roadmap have been conducted (during SB51 and the 2020 Climate Dialogues) on the following topics:

- 1. Workshop topic 2(d) improved nutrient use and manure management towards sustainable and resilient agricultural systems:
- 2. <u>Workshop topic 2(e) Improved livestock management systems, including agropastoral production systems and others</u>
- 3. Workshop topic 2(f) Socioeconomic and food security dimension of climate change in the agricultural sector:

The first part of the workshop mandated to take place between SB 51 and 52 was held virtually in May–June 2021 with a focus on element (a) (Sustainable land and water management, including integrated watershed management strategies, to ensure food security) while the second part, with a focus on element (b) (Strategies and modalities for scaling up implementation of best practices, innovations and technologies that increase resilience and sustainable production in agricultural systems) was due to be held before this session.

In May–June 2021 the SBSTA and SBI Chairs conducted work informally; progress made was captured in <u>3 informal notes</u>. SBSTA and SBI will continue their joint work on agriculture based on workshop reports and parties' submissions and report to COP26 on joint work and progress.

#### Item 9 - Sources of input for the global stocktake under the Paris Agreement

CMA 1 invited the SBSTA to complement the non-exhaustive lists of sources of input for the global stocktake in decision 19/CMA.1, paragraphs 36–37, at its session held prior to the information collection and preparation component of the stocktake, taking into account the thematic areas of the stocktake and the importance of leveraging national-level reporting.

In May–June 2021 the SBSTA conducted work on this matter informally and the Chair prepared informal notes to capture progress. SBSTA will be invited to conclude its consideration of this matter at this session.

#### Item 10 - Matters related to science and review

#### (a) Research and systematic observation

SBSTA and SBI will determine further action based on information from mandated events and informal work from May-June session. An <u>informal note</u> outlines topics raised by parties in relation to research and systematic observation. Discussion Points raised included, for example, the diversity of contributing scientists and the inclusion of indigenous knowledge systems.

### (b) Second periodic review of the long-term global goal under the Convention and of overall progress towards achieving it.

COP 25 decided that the second periodic review of the long-term global goal and of overall progress towards achieving it will start in the second half of 2020 and conclude in 2022, with SED2 to be held in conjunction with the sessions of the subsidiary bodies, starting at SB 53 and being completed at SB 55. In May–June 2021 the SBI and SBSTA Chairs conducted work on this matter informally and prepared an Informal note to capture progress.

SBSTA and SBI will determine follow-up action from their joint consideration on second periodic review of long-term global goal.

### Agenda Item 11 - Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement

SBSTA and SBI will convene the forum to implement agreed (at COP25) workplan activities and provide its recommendations with a view to the SBSTA and the SBI making recommendations to COP26 and CMA3. In May–June 2021 the SBI and the SBSTA conducted work informally on this item. The Chairs prepared an <u>informal note</u> to capture progress. Controversial discussion points included the progress of KCI's mandated activities with a view to implementation.

### Item 12 - Methodological issues under the Convention

### (a) Training programme for review experts for the technical review of greenhouse gas inventories of Parties included in Annex I to the Convention

The SBSTA will assess the continued utility of and the need for extending the implementation period of the training programme. Further information available <u>here</u>.

### (b) Training programme for review experts for the technical review of biennial reports and national communications of Parties included in Annex I to the Convention

The SBSTA will be invited to consider the continued utility of and the need for extending the implementation period of the training programme. Further information available here.

### (c) Revision of the UNFCCC reporting guidelines on annual inventories for Parties included in Annex I to the Convention

\_The SBSTA will continue consideration of the further development of the "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual greenhouse gas inventories." Additional information available <u>here</u>.

# (d) Guidelines for the technical review of information reported under the Convention related to greenhouse gas inventories, biennial reports and national communications by Parties included in Annex I to the Convention

The SBSTA will consider this matter and determine any further action.

### (e) Greenhouse gas data interface

The SBSTA will be invited to continue its consideration of this matter with a view to determining next steps. Additional information available <u>here</u> and <u>here</u>.

### (f) Common metrics to calculate the carbon dioxide equivalence of greenhouse gases

Since SBSTA 34, Parties have been considering common metrics under the methodological issues under the Convention. This matter was also discussed at SBSTA 50 and 51, but Parties were not able to conclude consideration. The SBSTA will continue its consideration of this matter. Additional information available <u>here</u>.

### (g) Emissions from fuel used for international aviation and maritime transport

SBSTA 51 considered this matter but was not able to conclude its consideration. The SBSTA will continue its consideration of this matter. Additional information is available <u>here</u>.

### Item 13 - Methodological issues under the Kyoto Protocol

### (a) Land use, land-use change and forestry under Article 3, paragraphs 3–4, of the Kyoto Protocol and under the clean development mechanism

SBSTA 50 agreed to defer consideration of this matter to SBSTA 52. The SBSTA will be invited to continue consideration of the matter and recommend draft decisions on the work programmes referred to in decision 2/CMP.7, paragraphs 6, 7 and 10, for adoption at CMP 16 and report to CMP 16 on the outcomes of the work programme referred to in decision 2/CMP.7, paragraph 5.

### (b) Implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities

SBSTA 50 agreed to defer consideration of this matter to SBSTA 52. SBSTA will continue its consideration with a view to recommending a draft decision at CMP 16.

### Item 14 - Methodological issues under the Paris Agreement

The modalities, procedures and guidelines (MPGs) for the transparency framework for action and support were agreed at COP24 but they left further technical issues to be agreed at a later stage: in particular, CMA 1 requested SBSTA to develop the following for consideration and adoption at CMA3:

• Common reporting tables for the electronic reporting of the information referred to in chapter II and common tabular formats for the electronic reporting of the information referred to in chapters III, V and VI of the MPGs, taking into account the existing common tabular formats and common reporting formats;

- Outlines of the biennial transparency report, national inventory document and technical expert review;
- A training programme for technical experts participating in the technical expert review.

Some progress was made on these at SB52, notably with the development of examples of tables, but with substantial technical work remaining, informal work was undertaken in the interval period. For information on the informal work undertaken by the CMA 2 Presidency and the incoming CMA 3 Presidency, see <u>here</u>. : The SBSTA will aim to finalize its work on these issues and make recommendations for adoption at CMA 3.

### Item 15 - Matters relating to Article 6 of the Paris Agreement

### a. Guidance on cooperative approaches referred to in Article 6 paragraph 2

COP21 and CMA1 requested SBSTA to develop guidance on cooperative approaches referred to in Article 6 para 2 of the PA. At CMA2 no consensus was reached on the draft decision texts prepared by the President. SBSTA will continue consideration of this matter with a view to recommending a draft decision for adoption at CMA3. The most contentious issue (amongst many) concerns the use of trading units generated under the Kyoto Protocol in the new system.

### b. Rules, modalities and procedures for the mechanism established by Article 6, para 4 PA.

COP21 and CMA1 requested SBSTA to develop and recommend rules, modalities and procedures for the mechanism established by Article 6 paragraph 4 of the PA, with a view to forwarding a draft decision for consideration and adoption at CMA2. No consensus was reached at CMA 2. SBSTA will continue consideration of the matter with a view to recommending a draft decision for adoption at CMA3.

### c. Work programme for the framework for non-market approaches referred to in Article 6 para 8 of the Paris Agreement

COP21 and CMA1 requested SBSTA to undertake a work programme under the framework for nonmarket approaches to sustainable development referred to in Article 6, para 8 of the PA, and to recommend a draft decision for adoption at CMA1. No consensus was reached on draft decisions at CMA1 and CMA2. SBSTA will continue consideration of this matter with a view to recommending a draft decision for adoption at CMA3. A <u>Summary Note</u> reflects the informal consultations held in June 2021. Informal ministerial consultations on Art.6 were held in July. For background and guiding questions see the <u>input paper</u>.

### Item 16 - Market and non-market mechanisms under the Convention

COP 18 requested the SBSTA to conduct work programmes on 1) a framework for various approaches 2) non-market-based approaches and 3) modalities and procedures for a new market-based mechanism defined in decision 2/CP.17, para 83, with a view to recommending a draft decision for adoption at COP 19. SBSTA 50 agreed to defer consideration of the matter to SBSTA 52. SBSTA will either try and conclude its work on these matters or defer them to a future session.

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The full agenda can be accessed here.

### Item 3 - Reporting from and review of Parties included in Annex I to the Convention

### (a) Status of submission and review of seventh national communications and third and fourth biennial reports from Parties included in Annex I to the Convention

At SBI 51 it was agreed to continue consideration of the status of submission and review of NC7s and BR3s at SBI 52. Developed countries were also to submit their BR4s by 1 January 2020. The SBI will be invited to take note of the status of submission and review of BR4s. Additional information is available <u>here</u> and <u>here</u>.

### (b) Compilations and syntheses of second, third and fourth biennial reports from Parties included in Annex I to the Convention

The consideration of the compilation and synthesis report on the information reported by Annex I Parties in their BR2s was initiated at SBI 45 and continued at subsequent sessions without any conclusion being reached. The secretariat also prepared compilations and syntheses of BR3 and BR4. The SBI will be invited to conclude its consideration of this matter and to recommend a draft decision to COP26. Additional information available <u>here</u>.

# (c) Reports on national greenhouse gas inventory data from Parties included in Annex I to the Convention for 1990–2016, 1990–2017, 1990–2018 and 1990–2019

The SBI will be invited to take note of the information contained in the <u>reports</u> referred to above.

### (d) Revision of the modalities and procedures for international assessment and review (IAR)

By decision 18/CP.22, the COP requested the SBI to revise the IAR modalities and procedures taking into account any submissions from Parties. At SBI 46, it was agreed to continue discussing the revision of the IAR modalities and procedures at SBI 50, taking note of the work on the MPGs for the enhanced transparency framework. The SBI will be invited to conclude its consideration of the revision of this matter with a view to recommending a draft decision to COP 26.

### Item 4 - Reporting from Parties not included in Annex I to the Convention

# (a) Information contained in national communications from Parties not included in Annex I to the Convention

At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by non-Annex I Parties in all their NCs. There was no agreement among Parties at that session, and this agenda sub-item has since been held in abeyance. The SBI will be invited to provide guidance on how to consider the information contained in the NCs of non-Annex I Parties taking into account decisions 1/CP.21, 1/CP.24 and 18/CMA.1

### (b) Report (for 2020 and 2021) and terms of reference of the Consultative Group of Experts

SBI 51 could not conclude the review and revision of the terms of reference of the CGE and forwarded the matter to COP25 for further guidance. COP25 requested SBI 52 to conclude its work on this matter. Some informal work was conducted on this in May–June 2021 the outcomes of which were captured in an informal note. The SBI will be invited to complete the review and revision of the terms of reference of the CGE. The SBI will also be invited to consider the progress reports of the CGE on its work in 2020–2021 and to provide guidance, as appropriate, to the CGE.

### c) Provision of financial and technical support

At SBI 51 the Global Environment Facility presented updated information on its activities relating to the preparation of NCs and BURs and information on its progress in developing and implementing the Capacity-building Initiative for Transparency. SBI 51 agreed that consideration of this matter would continue at SBI 52.

In May–June 2021, the SBI conducted work informally on the provision of financial and technical support to assist developing country Parties in implementing the existing measurement, reporting

and verification arrangements under the Convention and the enhanced transparency framework. An <u>informal note</u> was prepared by the Chair, to capture the progress of this work. The SBI will be invited to continue its consideration of this matter and to agree on any further action. More information available <u>here</u>.

# (d) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention

Nineteen rounds of technical analysis covering 114 BURs had been conducted as at 15 August 2021. The SBI will be invited to take note of the summary reports finalized in the period up to 15 August 2021 (Outcomes Cycle 1, Cycle 2, and Cycle 3).

### e) Revision of the modalities and guidelines for international consultation and analysis

SBI 50 agreed that consideration of the revision of the <u>modalities and guidelines for international</u> <u>consultation and analysis</u> would continue at SBI 54. The SBI will be invited to conclude its consideration of this matter with a view to recommending a draft decision to COP 26.

### Item 5 - Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement

CMA 1 decided that Parties will apply common time frames to their NDCs from 2031 onwards, with the length of the timeframe to be decided later. At COP25 Parties were unable to reach agreement on what the common timeframe should be, putting forward a list of 10 options that included five-year timeframes, 10 years, a choice of either, or hybrids of the two.

In order to help parties consolidate some of the options, the SBI chair prepared shortly before SB52 an <u>informal note</u> that sets out possible elements for consolidating the options. This was followed by a <u>revised note</u>, which builds on the latest consultations, aims to capture all the options put forward by parties and further summarizes and structures the views expressed. It sets out four options: five years; 10 years; "five years + five years", where parties would submit two five-year NDCs every five years, on a rolling basis; or "five or 10 years", where parties could choose. In addition an annex to the note lists eight further proposals from parties. The SBI will be invited to continue its consideration of this matter with a view to recommending a draft decision to the CMA.

### Item 6 - Matters relating to the mechanisms under the Kyoto Protocol

Under this item, Parties will consider: a) the review of the modalities and procedures for the Clean Development Mechanism (CDM); b) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the CDM; and c) the report of the administrator of the international transaction log under the KP (for 2020 and 2021).

In May-June 2021, the SBI did not conduct any informal work on these matters. With regards to parts a) and b), the SBI will be invited to conclude its work and recommend a draft decision to the CMP. With regards to part c), the SBI will be invited to take note of the 2020 and 2021 reports of the international transaction log administrator.

# Item 7 – Second periodic review of the long-term global goal under the Convention and of overall progress towards achieving it

COP 25 decided that the second periodic review of the long-term global goal and of overall progress towards achieving it will start in the second half of 2020 and conclude in 2022, with SED2 to be held in conjunction with the sessions of the subsidiary bodies, starting at SB 53 and being completed at SB

55. In May–June 2021 the SBI and SBSTA Chairs conducted work on this matter informally and prepared an <u>Informal note</u> to capture progress.

SBSTA and SBI will determine follow-up action from their joint consideration on the second periodic review of long-term global goal.

### Item 8 - Koronivia joint work on agriculture

Workshops under the Koronivia roadmap have been conducted (during SB51 and the 2020 Climate Dialogues) on the following topics:

- 1. Workshop topic 2(d) improved nutrient use and manure management towards sustainable and resilient agricultural systems:
- 2. Workshop topic 2(e) Improved livestock management systems, including agropastoral production systems and others
- 3. Workshop topic 2(f) Socioeconomic and food security dimension of climate change in the agricultural sector:

The first part of the workshop mandated to take place between SB 51 and 52 was held virtually in May–June 2021 with a focus on element (a) (Sustainable land and water management, including integrated watershed management strategies, to ensure food security) while the second part, with a focus on element (b) (Strategies and modalities for scaling up implementation of best practices, innovations and technologies that increase resilience and sustainable production in agricultural systems) was due to be held before this session.

In May–June 2021 the SBSTA and SBI Chairs conducted work informally; progress made was captured in <u>3 informal notes</u>. SBSTA and SBI will continue their joint work on agriculture based on workshop reports and parties' submissions and report to COP26 on joint work and progress.

### Item 9 - Report of the Adaptation Committee (for 2019, 2020 and 2021)

SB 51 could not conclude consideration of the 2019 report of the Adaptation Committee (AC). Information on progress of the AC since 2019 in implementing its work plan is contained in the annual reports of the AC for 2020 and 2021.

SBSTA and SBI will be invited to continue their consideration of the 2019 report, consider its reports for 2020 and 2021 and recommend a draft decision for consideration and adoption at COP 26 and CMA 3.

# Item 10 - Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (for 2020 and 2021)

COP 19 and 20 requested the Executive Committee to report annually to the COP through the subsidiary bodies and to make recommendations, as appropriate.

The SBI and the SBSTA will consider the 2020 and 2021 reports of the Executive Committee and recommend a draft decision to the appropriate body or bodies. Although not formally on the provisional agenda, developing countries may push for the following to be considered: (i) the development of a plan for the implementation of the Santiago Network; (ii) Loss & Damage finance or commitments by developed countries to provide aid to developing countries to combat the effects of climate change; (iii) the inclusion of Loss & Damage as a permanent agenda; and (iv) the development of initiatives to amplify private sector investment to combat climate change.

The <u>2020 report of the Executive Committee</u> highlights the Committee's progress in implementing its five-year rolling workplan. The Executive Committee held its 11<sup>th</sup>, 12<sup>th</sup>, 13<sup>th</sup>, and 14<sup>th</sup> meetings online. In addition, all five expert groups held meetings

The <u>2021 report of the Executive Committee</u> is expected to inform on the progress in the work of the expert groups and organizations providing technical assistance to developing countries under the Santiago network for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

### Item 11 - Matters relating to the least developed countries

COP 21 decided that the progress, need for continuation and terms of reference of the LDC Expert Group (LEG) will be reviewed at COP 26. COP 25 requested the Adaptation Committee (AC) and the LEG to continue to include in their reports information on the gaps and needs related to the process to formulate and implement national adaptation plans identified in undertaking their mandated work and on how to address them. The SBI will be invited to consider the documents prepared as input to the review of the LEG with a view to recommending a draft decision. It will also be invited to consider the LEG meeting reports and to take further action, as appropriate.

Informal note arising from June 2021 informal consultations provides basis for discussion.

#### Item 12 - National Adaptation Plans

COP 25 requested SBI 53 to discuss information from the reports of the AC and the LEG, including on gaps and needs and the implementation of national adaptation plans. In May–June 2021, the SBI conducted work informally on national adaptation plans. The Chair prepared an <u>Informal note</u> to capture progress.

SBI will continue its consideration of this matter with a view to recommending a draft decision for adoption at COP26 on gaps, needs and implementation of NAPs as well as to develop actions and steps for the next assessment of progress in the process to formulate and implement NAPs before 2025.

### Item 13 - Development and transfer of technologies and implementation of the technology Mechanism

### (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021)

The SBI and SBSTA will consider the joint annual reports of TEC and the CTCN and recommend draft decision to COP26 and CMA3.

### (b) Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/C.P.21

CMA1 adopted the scope of and modalities for the periodic assessment of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer in accordance with decision 1/CP.21. CMA1 also requested SBI51 to consider the alignment between processes for the review of the CTCN and the periodic assessment referred to above, with a view to recommending a draft decision for consideration and adoption at CMA3. Matters relating to this agenda item are considered under the SBI. It will be invited to consider the document prepared for the session and continue its consideration of this matter with a view to recommending a draft decision to CMA 3.

### (c) Poznan strategic programme on technology transfer

SBI 34 invited the GEF to provide reports on progress in carrying out its activities under the Poznan strategic programme on technology transfer for consideration by the SBI for the duration of the programme. SBI 51 invited the TEC and the CTCN to include in their joint annual report for 2020 information on the outcomes of their actions in response to the relevant recommendations in the report on the updated evaluation of the Poznan strategic programme. As requested at SBI 50, the secretariat prepared a report on the fourth synthesis of technology needs identified by non-Annex I Parties. The SBI will be invited to consider documents prepared for the session with a view to determining further actions arising from them.

### (d) Linkages between the Technology Mechanism and the Financial Mechanism of the Convention

COP 24 requested the SBI to take stock of progress in strengthening the linkages between the Technology Mechanism and the Financial Mechanism with a view to recommending a draft decision on the matter for consideration and adoption at COP 26. The SBI will be invited to take stock of progress in strengthening the linkages between the two mechanisms with a view to recommending a draft decision to COP 26.

### Item 14 - Matters relating to the Adaptation Fund

### (a) Membership of the Adaptation Fund Board

CMP 14 requested the SBI to consider ensuring that developing country Parties and developed country Parties that are Parties to the Paris Agreement are eligible for membership on the Adaptation Fund Board and to forward a recommendation to CMP 15. SBI 51 agreed that consideration would continue at SBI 52. The SBI will be invited to continue its consideration of this matter and to forward a recommendation to CMP 16.

#### (b) Fourth review of the Adaptation Fund

CMP 13 requested SBI 52 to initiate the fourth review of the Adaptation Fund in accordance with the terms of reference contained in the annex to decision 1/CMP.12. A Revised <u>informal note</u> provides basis for further consideration and is result of parties' submissions, informal consultations in June 2021 and bilateral meetings between groups and the co-chairs. The SBI will be invited to continue its consideration of the fourth review of the AF and to report back to its governing body at the session of that body to be convened in conjunction with COP 27.

### Item 15 - Matters relating to capacity building

### (a) Capacity-building under the Convention

The SBI will be invited to conclude its consideration of the fifth review of the implementation of the framework for capacity-building in countries with economies in transition and to forward a recommendation to COP 26.

### (b) Capacity-building under the Kyoto Protocol

The SBI will be invited to conclude its consideration of the fourth review of the implementation of the framework for capacity-building in developing countries and the fifth review of the implementation of the framework for capacity-building in countries with economies in transition and to forward recommendations to CMP 16.

### (c) Annual technical progress report of the Paris Committee on Capacity-building (for 2020 and 2021)

The SBI will be invited to consider the annual technical progress reports of the PCCB for 2020 and 2021, including its recommendations to the COP and the CMA, and to recommend a draft decision to COP 26 and CMA 3.

### Item 16 - Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement

SBSTA and SBI will convene the forum to implement agreed (at COP25) workplan activities and provide its recommendations with a view to the SBSTA and the SBI making recommendations to COP26 and CMA3. In May–June 2021 the SBI and the SBSTA conducted work informally on this item. The Chairs prepared an informal note to capture progress (see above).

### Item 17 - Gender and climate change

The workshops on the role of national gender and climate change focal points and on gender integration in national climate policies were held virtually – regionally and during the Climate Dialogues. As part of the gender action plan activity A4, Parties, relevant organizations and the research community were invited to make submissions on the dimensions and examples of the gender-differentiated impacts of climate change, the role of women as agents of change and opportunities for women. The second part of the workshop on the role of national gender and climate change focal points will be organized in conjunction with this session so the recommendations on this matter can be finalized.

### Item 18 - Matters related to Action for Climate Empowerment

### (a) Review of the Doha work programme on Article 6 of the Convention

The SBI Chair convened a series of activities virtually in March - May 2021 on the review of the Doha work programme and future work to enhance the implementation of ACE under Article 6 of the Convention and Article 12 of the Paris Agreement. In May–June 2021, the SBI conducted work informally on the review of the Doha work programme. The Chair prepared an <u>Informal note</u> to capture progress. The SBI will be invited to consider the documents prepared for the session, and, taking into account the submissions, launch the review of the implementation of the Doha work programme and recommend a draft decision to COP 26.

### (b) Report on activities related to Action for Climate Empowerment (for 2020 and 2021)

The 8th Dialogue on ACE was held virtually – regionally and during Climate Dialogues – to advance the discussions on recommendations and views on future work to enhance the implementation of Article 6 of the Convention and Article 12 of the Paris Agreement. The SBI will be invited to take note of the summary report on the 8th Dialogue on ACE and of other relevant activities. Produced by LRI